



QP CODE: 21100118



21100118

Reg No :

Name :

UNDERGRADUATE (CBCS) EXAMINATION, FEBRUARY 2021

Fifth Semester

(Offered by the Board of Studies in Commerce)

Open Course - CO5OPT02 - CAPITAL MARKET AND INVESTMENT MANAGEMENT

2017 Admission Onwards

47F3398B

Time: 3 Hours

Max. Marks : 80

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. Name the components of Indian financial system.
2. Define call money.
3. List functions of capital market.
4. What is Underwriting?
5. Who are Brokers to the Issue?
6. What is Allotment of Shares?
7. Who are Arbitrageurs?
8. What is Kerb Trading?
9. What is Double Option?
10. Define investment.
11. What is strategic asset allocation?
12. Explain AMC.

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*

13. Distinguish between ADR's and GDR's.
14. List the major departments of SEBI .





15. Comment on the advantages and limitations of Private Placement.
16. Briefly discuss on the Book Building Process.
17. What are the steps involved for the purchase and sale of securities through a Stock Exchange?
18. What are the advantages of Listing of Securities?
19. Which are the different types of Derivatives? Explain about each of them.
20. Explain the process of portfolio analysis.
21. What do you mean by unrecognized PF?

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. Explain the recent developments in the Indian financial system.
23. Describe Stock Market Indices with an example. Explain the advantages of Stock Market Indices.
24. What is industry life cycle? Detail various stages.
25. What are investment avenues? Explain investment alternatives.

(2×15=30)

