



QP CODE: 19102517



19102517

Reg No :

Name :

UNDERGRADUATE (CBCS) EXAMINATION, OCTOBER 2019

Fifth Semester

(Offered by the Board of Studies in Commerce)

Open Course - CO5OPT02 - CAPITAL MARKET AND INVESTMENT MANAGEMENT

2017 Admission Onwards

04F59029

Maximum Marks: 80

Time: 3 Hours

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. What you mean by bankers acceptance?
2. What you mean by SDLs?
3. Write any recent events in Indian financial system.
4. Profitability Route-Comment.
5. What is meant by Buy Back of Securities?
6. What is a Grey Market?
7. What is meant by BOLT?
8. What do you mean by Blue Chip Stock?
9. What do you mean by Basket Options? Give an Example.
10. What is gambling?
11. What is market risk?
12. List some of the Other investment avenues.

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*

13. Describe the financial structure of India.
14. Explain powers of SEBI.





15. What are the functions of New Issue Market?
16. Discuss the methods of Pricing an Issue.
17. What are the different Types of Issue?
18. Discuss how the Indian Stock Exchanges do the categorisation of listed securities.
19. Mr. Dennis buy US \$ 1000 form the spot market, retain it for three months and sell them after 3 months when the exchange rate becomes favourable,ie., Rs.70. If on the maturity date if the exchange rate becomes 75, what will be profit he is going to earn?
20. What is the significance of investment?
21. What are the advantages & disadvantages of gold investment?

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. Explain capital market instruments.
23. Discuss the various economic functions of a Stock Exchange.
24. What is security analysis? Detail the various components.
25. What are investment avenues? Explain investment alternatives.

(2×15=30)

